

<b>FORM PTO-1449 U.S. Department of Commerce</b> Patent and Trademark Office				Attorney Docket No.: 1503/4/2			Serial No.: 10/785,132
List of Documents Cited by Applicant 							
				Applicant(s): Motsinger et al.			
				Filing Date: February 24, 2004		Group: 2132	
U.S. PATENT DOCUMENTS							
Examiner Initial	No.	Document Number	Date	Name	Class	Subclass	Filing date if Appropriate
	1.	5,956,709	09/21/1999	Xue			
	2.	6,052,730	04/18/2000	Felciano et al.			
	3.	6,240,450	05/29/2001	Sharples et al.			
	4.	6,281,790	08/28/2001	Kimmel et al.			
	5.	6,286,046	09/04/2001	Bryant			
	6.	US20010037358	11/01/2001	Clubb et al.			
	7.	US20010044309	11/22/2001	Bar et al.			
	8.	US20020023108	02/21/2002	Daswani et al.			
	9.	US20020032725	03/14/2002	Araujo et al.			
	10.	US20020032731	03/14/2002	Qian et al.			
	11.	US20020035498	03/21/2002	Kehoe et al.			
	12.	US20020042821	04/11/2002	Muret et al.			
	13.	US20020046350	04/18/2002	Lordemann et al.			
	14.	US20020059528	05/16/2002	Dapp			
	15.	US20020066035	05/30/2002	Dapp			
	16.	6,405,318	06/11/2002	Rowland			
	17.	US20020078382	06/20/2002	Sheikh et al.			
	18.	US20020093527	07/18/2002	Sherlock et al.			

	19.	US20020103896	08/01/2002	Lemon			
	20.	US20020124047	09/05/2002	Gartner et al.			
	21.	US20020124075	09/05/2002	Venkatesan			
	22.	US20020137524	09/26/2002	Bade et al.			
	23.	US20020143813	10/03/2002	Jellum et al.			
	24.	US20020143931	10/03/2002	Smith et al.			
	25.	US20020144156	10/03/2002	Copeland, III			
	26.	US20020147570	10/10/2002	Kraft et al.			
	27.	US20020152284	10/17/2002	Cambray et al.			
	28.	US20020162017	10/31/2002	Sorkin et al.			
	29.	US20020165954	11/07/2002	Eshghi et al.			
	30.	US20020174174	11/21/2002	Ramraj et al.			
	31.	US20020188864	12/12/2002	Jackson			
	32.	US20030036886	02/20/2003	Stone			
	33.	US20030060212	03/27/2003	Thomas			
	34.	6,549,208	04/15/2003	Maloney et al.			
	35.	US20030074400	04/17/2003	Brooks et al.			
	36.	US20030088663	05/08/2003	Battat et al.			
	37.	US20030135509	07/17/2003	Davis et al.			
	38.	US20030135759	07/17/2003	Kim et al.			
	39.	US20030135865	07/17/2003	Jung			
	40.	US20030139990	07/24/2003	Greco			
	41.	US20030149887	08/07/2003	Yadav			
	42.	US20030149888	08/07/2003	Yadav			
	43.	6,609,246	08/19/2003	Guhr et al.			
	44.	US20030163730	08/28/2003	Roskind et al.			

<i>[Signature]</i>	45.	US20030195803	10/16/2003	Ketonen	<del>✓</del>	<del>✓</del>	<del>✓</del>
<i>[Signature]</i>	46.	US20030204719	10/30/2003	Ben-Itzhakl	<del>✓</del>	<del>✓</del>	<del>✓</del>
<i>[Signature]</i>	47.	US20030208533	11/06/2003	Farquharson et al.	<del>✓</del>	<del>✓</del>	<del>✓</del>

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Name of Patentee or Applicant	Translation Yes   No
<i>[Signature]</i>	1.	10254732	09/25/1998	JP	Mitsubishi Electric Corp	
<i>[Signature]</i>	2.	10333940	12/18/1998	JP	NEC Corp	
	3.	99/18532	04/15/1999	WO	Health Hero Network, Inc.	
	4.	00/27088	05/11/2000	WO	Physician Verification Services, Inc.	
	5.	11355759	12/24/1999	JP	Kokusai Electric Co. LTD.	
	6.	2000172607	06/23/2000	JP	NEC ENG LTD	
	7.	00/54458	03/10/2003	WO	Psionic Software, Inc.	
	8.	2000250844	09/14/2000	JP	NEC Corp	
	9.	00/60467	10/12/2000	WO	Koninklijke Philips Electronics N.V.	
	10.	2383431	03/08/2001	CA	Nettalon Security Systems, Inc.	
	11.	01/16912	03/08/2001	WO	Nettalon Security Systems, Inc.	
	12.	01/20503	03/22/2001	WO	E-Club Australia Limited	
	13.	2352110	04/05/2001	CA	Bull CP8	
	14.	01/24475	04/05/2001	WO	Bull CP8	
	15.	1139221	04/10/2001	EP	Lucent Technologies Inc.	
<i>[Signature]</i>	16.	01/25896	04/12/2001	WO	Quantified Systems Inc.	
<i>[Signature]</i>	17.	2388389	05/03/2001	CA	Roke Manor Research Limited	

<i>P</i>	18.	01/31852	05/03/2001	WO	Roke Manor Research Limited	
	19.	2001125853	05/11/2001	JP	Toshiba Corp	
	20.	2357680	06/27/2001	GB	* Speed-Trap.Com LTD.	
	21.	2357679	06/27/2001	GB	* Speed-Trap.Com LTD.	
	22.	01/48505	07/05/2001	WO	Main Course Technologies, Inc.	
	23.	2001218192	08/10/2001	JP	Toshiba Group, Toshiba Telecommunication System Engineering Corp	Abstract Only
	24.	2360608	09/26/2001	GB	Roke Manor Research Limited	
	25.	01/71498	09/27/2001	WO	Mercury Interactive Corporation	
	26.	01/80494	10/25/2001	WO	Digitalnetbank Inc.	
	27.	2001312429	11/09/2001	JP	Lucent Technol Inc.	
	28.	2001325680	11/22/2001	JP	Matsushita Electric Works LTD	
	29.	02/01381	01/03/2002	WO	Bunch, Clinton D.	
	30.	2002034029	01/31/2002	JP	Mikasa Shoji Co LTD	
	31.	2002049537	02/15/2002	JP	Mitsubishi Electric Corp	
	32.	2002158995	05/31/2002	JP	Yokogawa Electric Corp.	
	33.	2002163161	06/07/2002	JP	NEC ENG LTD	
	34.	02/50703	06/27/2002	WO	The Johns Hopkins University	
	35.	2002183853	06/28/2002	JP	Mitsubishi Electric Building Techno Service Co LTD	
	36.	2002197564	07/12/2002	JP	Sanyo Product Co Ltd	
<i>V</i>	37.	2002259237	09/13/2002	JP	Hitachi LTD	
<i>TP</i>	38.	2002262371	09/13/2002	JP	Sharp Corp	

	39.	2002268733	09/20/2002	JP	Toshiba Corp	
	40.	2441230	09/26/2002	CA	Kavado, Inc.	
	41.	02/075547	09/26/2002	WO	Kavado, Inc.	
	42.	2002281573	09/27/2002	JP	NEC ENG LTD	
	43.	02/077867	10/03/2002	WO	Mitsubishi Space Software Co., LTD.	
	44.	02/077869	10/03/2002	WO	Cyber Watcher AS	
	45.	2003005837	01/08/2003	JP	Maspro Denkoh Corp	
	46.	2003067330	03/07/2003	JP	OKI Data Corp	
	47.	2003114812	04/18/2003	JP	NEC Corp	
	48.	05/32010	05/11/2003	TW	Koninklijke Philips Electronics N.V.	
	49.	03/056430	07/10/2003	WO	Searchspace Limited	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	LAM ET AL., "Multivariate Data Analysis Software for Enhancing System Security," J. Systems Software, p. 267-275 (1995)
	2.	WU ET AL., "SpeedTracer: A Web Usage Mining and Analysis Tool," IBM Systems Journal, Vol. 37, No. 1, p. 89-105, (1998)
	3.	IGUCHI ET AL., "Network Surveillance for Detecting Intrusions," IEEE, p. 99-106 (1999)
	4.	KO, "Logic Induction of Valid Behavior Specifications for Intrusion Detection," IEEE, p. 142-153 (2000)
	5.	SELEZNYOV ET AL., "Learning Temporal Regularities of User Behavior for Anomaly Detection," Information Assurance in Computer Networks, p. 143-152, (2001)
	6.	BAUER ET AL., "Detecting Anomalous Behavior: Optimization of Network Traffic Parameters Via an Evolution Strategy", IEEE, p. 34-39 (2001)
	7.	SINGH ET AL., "Investigating and Evaluating Behavioural Profiling and Intrusion Detection Using Data Mining," Information Assurance in Computer Networks, p. 153-158 (2001)
	8.	WENHUI, "A Novel Intrusion Detection System Model for Securing Web-based Database Systems", IEEE, p. 249-254 (2001)

D	9.	GANGER, "Position Summary: Authentication Confidences", IEEE Workshop on Hot Topics in Operating Systems HOTOS 8 <sup>th</sup> , p. 169 (May 2001)
O	10.	WEI-MIN ET AL., "The Intrusion Detection Based on Genetic Algorithms," Journal of Shanghai University, Vol. 5, Suppl. p. 66-69 (September 2001)
O	11.	CANSIAN ET AL., "An Attack Signature Model to Computers Security Intrusion Detection," IEEE, p. 1368-1373, (2002)
O	12.	MUKKAMALA ET AL., "Intrusion Detection Using Neural Networks and Support Vector Machines", IEEE, p. 1702-1707 (2002)
O	13.	CHAUHAN, "Blocking Attacks on Applications," SC Magazine (May 2003)

EXAMINER

DATE CONSIDERED 1 MAY 07

\*Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.